

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

|                        |                |
|------------------------|----------------|
| Application Number     | 10654738       |
| Filing Date            | 2003-09-04     |
| First Named Inventor   | Deniz Senturk  |
| Art Unit               | 3623           |
| Examiner Name          | Neil R. Kardos |
| Attorney Docket Number | GE1-0029US     |

| U.S.PATENTS       |         |               |                        |            |   | <input type="button" value="Remove"/>                                    |
|-------------------|---------|---------------|------------------------|------------|---|--|
| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
| /N.K./            | 1       | 5463555       |                        | 1995-10-31 | Ward et al.                                     |  |
|                   | 2       | 6029139       |                        | 2000-02-22 | Cunningham et al.                               |  |
|                   | 3       | 6038540       |                        | 2000-03-14 | Krist et al.                                    |  |
|                   | 4       | 6236955       | B1                     | 2001-05-22 | Summers   |  |
|                   | 5       | 6408263       | B1                     | 2002-06-18 | Summers   |  |
|                   | 6       | 6487665       | B1                     | 2002-11-26 | Andrews et al.                                  |  |
|                   | 7       | 6611839       | B1                     | 2003-08-26 | Nwabueze  |  |
| ↓                 | 8       | 7006981       | B2                     | 2006-02-08 | Rose et al.                                     |  |

|  |  |  |                        |  |                |
|--|--|--|------------------------|--|----------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><b>( Not for submission under 37 CFR 1.99)</b> |  |  | Application Number     |  | 10654738       |
|  |  |  | Filing Date            |  | 2003-09-04     |
|  |  |  | First Named Inventor   |  | Deniz Senturk  |
|  |  |  | Art Unit               |  | 3623           |
|  |  |  | Examiner Name          |  | Neil R. Kardos |
|  |  |  | Attorney Docket Number |  | GE1-0029US     |

|        |    |         |    |            |               |  |
|--------|----|---------|----|------------|---------------|--|
| /N.K./ | 9  | 7013285 | B1 | 2006-03-14 | Rebane        |  |
| /N.K./ | 10 | 7043531 | B1 | 2006-05-09 | Seibel et al. |  |

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S.PATENT APPLICATION PUBLICATIONS**

| Examiner Initial* | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|--------------------|------------------------|------------------|---|--|
| /N.K./            | 1       | 20010032029        | A1                     | 2001-10-18       | Kauffman  |  |
|                   | 2       | 20020022985        | A1                     | 2002-02-21       | Guidice et al.                                  |  |
|                   | 3       | 20020138316        | A1                     | 2002-09-26       | Katz et al.                                     |  |
|                   | 4       | 20020194056        | A1                     | 2002-12-19       | Summers   |  |
|                   | 5       | 20030028437        | A1                     | 2003-02-06       | Grant et al.                                    |  |
|                   | 6       | 20030149603        | A1                     | 2003-08-07       | Ferguson et al.                                 |  |
| ✓                 | 7       | 20030149682        | A1                     | 2003-08-07       | Earley et al.                                   |  |

|  |  |                        |  |                |  |
|--|--|------------------------|--|----------------|--|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>( Not for submission under 37 CFR 1.99)</i> |  | Application Number     |  | 10654738       |  |
|  |  | Filing Date            |  | 2003-09-04     |  |
|  |  | First Named Inventor   |  | Deniz Senturk  |  |
|  |  | Art Unit               |  | 3623           |  |
|  |  | Examiner Name          |  | Neil R. Kardos |  |
|  |  | Attorney Docket Number |  | GE1-0029US     |  |

|        |    |             |    |            |                 |  |
|--------|----|-------------|----|------------|-----------------|--|
| /N.K./ | 8  | 20040088211 | A1 | 2004-05-06 | Kakouros et al. |  |
| /N.K./ | 9  | 20050004789 | A1 | 2005-01-06 | Summers         |  |
| /N.K./ | 10 | 20050197875 | A1 | 2005-09-08 | Kauffman        |  |
| /N.K./ | 11 | 20060059028 | A1 | 2006-03-16 | Eder            |  |

If you wish to add additional U.S. Published Application citation information please click the Add button.

#### FOREIGN PATENT DOCUMENTS

| Examiner Initial* | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>           |
|-------------------|---------|--------------------------------------|---------------------------|------------------------|------------------|---|--|--------------------------|
| /N.K./            | 1       | WO0179994                            | WO                        | A2                     | 2001-10-25       | SAVVION INC (US)                                |  | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button

#### NON-PATENT LITERATURE DOCUMENTS

|                    |         |   |                          |
|--------------------|---------|---|--------------------------|
| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>5</sup>           |
| /N.K./             | 1       | "After Jack, a Change of Style Only", Financial Times, September 5, 2001, 2 pgs.  | <input type="checkbox"/> |
| /N.K./             | 2       | Blattner, "Special Edition Using Microsoft Excel 2002", Que, May 18, 2001, 30 pgs.  | <input type="checkbox"/> |

|  |  |                        |                |
|--|--|------------------------|----------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><b>( Not for submission under 37 CFR 1.99)</b> |  | Application Number     | 10654738       |
|  |  | Filing Date            | 2003-09-04     |
|  |  | First Named Inventor   | Deniz Senturk  |
|  |  | Art Unit               | 3623           |
|  |  | Examiner Name          | Neil R. Kardos |
|  |  | Attorney Docket Number | GE1-0029US     |

|        |    |   |                          |
|--------|----|---|--------------------------|
| /N.K./ | 3  | Blix, et al., "Inflation Forecasts with Uncertainty Intervals", 2 Quarterly Review - Sveriges Riksbank, Dec 1999, 17 pgs.                                     | <input type="checkbox"/> |
|        | 4  | Business Wire, "GE Fanuc Introduces Proficy Real-Time Information Portal", Business Wire, August 20, 2004, 2 pgs.   | <input type="checkbox"/> |
|        | 5  | CIO Insight, "Creating the Real-Time Manager", CIO Insight, New York, Nov. 2, 2002, Vol. 1, Issue 20, 3 pgs.  | <input type="checkbox"/> |
|        | 6  | Cognos Corp. White Paper, "Connecting the User to the Business with Reporting and Analysis", Cognos Corporation, Oct. 2001, 14 pgs.                           | <input type="checkbox"/> |
|        | 7  | Cognos Corporation, "Cognos e-Applications," May 2001, 28 pgs.  | <input type="checkbox"/> |
|        | 8  | "Cognos(R) Announces Support for Microsoft SQL Server 2000 Analysis Services," Canada NewsWire, Ottawa, Sept. 27, 2000, 2 pgs.                                | <input type="checkbox"/> |
|        | 9  | "Cognos(R) Demonstrates Market-Leading Business Intelligence Solutions at Microsoft Pavilion," PR Newswire, New York, Nov. 16, 1999, 4 pgs.                   | <input type="checkbox"/> |
|        | 10 | Davis, "Cognos Upgrades OLAP Client," Information Week, Manhasset, Issue 735, May 24, 1999, p. 40.  | <input type="checkbox"/> |
|        | 11 | Faden, "Business Intelligence On Desktops," Information Week, Issue 790, June 12, 2000, pgs. 205-206,208,210.   | <input type="checkbox"/> |
|        | 12 | GE 2001 Annual Report, available at <a href="http://www.ge.com/annual01/letter/cockpit">www.ge.com/annual01/letter/cockpit</a> as early as 12/10/2007, 2 pgs. | <input type="checkbox"/> |
| ↓      | 13 | Gillmor, et al., "Digital Dashboard Offers Little Light," Information Week, Issue 758, Oct. 25, 1999, pgs. 85-86, 90.   | <input type="checkbox"/> |

|  |  |                        |                |
|--|--|------------------------|----------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><b>( Not for submission under 37 CFR 1.99)</b> |  | Application Number     | 10654738       |
|  |  | Filing Date            | 2003-09-04     |
|  |  | First Named Inventor   | Deniz Senturk  |
|  |  | Art Unit               | 3623           |
|  |  | Examiner Name          | Neil R. Kardos |
|  |  | Attorney Docket Number | GE1-0029US     |

|        |    |  |                          |
|--------|----|--|--------------------------|
| /N.K./ | 14 | Harreld, et al., "BI Commands Performance", InfoWorld, Issue 38, Sept. 23, 2002, pgs. 1, 38-41.  | <input type="checkbox"/> |
|        | 15 | Interview with GE CIO Gary Reiner, "Creating the Real-Time Manager", CIO Insight, Vol. 1, Issue 20, Nov. 2, 2002, 3 pgs.   | <input type="checkbox"/> |
|        | 16 | Jeng, et al., "An Agent-Based Architecture for Analyzing Business Processes of Real-Time Enterprises", Proceedings of 7th IEEE International Enterprise Distributed Object Computing Conference, 2003, 12 pgs. | <input type="checkbox"/> |
|        | 17 | Jennings, et al., "Agent-Based Business Management", available as early as 12/26/2007, 26 pgs.   | <input type="checkbox"/> |
|        | 18 | Jennings, "Using Intelligent Agents to Manage Business Processes", The Institution of Electrical Engineers, 1996, 3 pgs.   | <input type="checkbox"/> |
|        | 19 | Lindorff, "Time = \$", CIO Insight, New York, Nov. 2, 2002, Vol. 1, Issue 20, p. 34 (6 pgs.)   | <input type="checkbox"/> |
|        | 20 | Michael, "Test-Driving the Digital Dashboard", InfoWorld, Vol. 22, Issue 19, May 8, 2002, pgs. 81,94.  | <input type="checkbox"/> |
|        | 21 | Nadile, "Cognos Adds Data Mining", Information Week, Issue 617, Feb. 10, 1997, p. 77, 2 pgs.   | <input type="checkbox"/> |
|        | 22 | Raisinghani, et al., "An Automated Executive and Managerial Performance Monitoring, Measurement and Reporting System", Journal of Electronic Commerce Research, Vol. 2, No. 1, 2001, pgs. 23-31.               | <input type="checkbox"/> |
|        | 23 | Ricadela, "Free Access to Business Data", Information Week, Vol 763, Nov. 29, 1999, pgs. 85, 88.   | <input type="checkbox"/> |
| ↓      | 24 | Tedeschi, "Digital Cockpits Track a Corporation's Performance", The New York Times, July 29, 2002, 3 pgs.  | <input type="checkbox"/> |

|  |                        |                |
|--|------------------------|----------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>( Not for submission under 37 CFR 1.99)</i> | Application Number     | 10654738       |
|  | Filing Date            | 2003-09-04     |
|  | First Named Inventor   | Deniz Senturk  |
|  | Art Unit               | 3623           |
|  | Examiner Name          | Neil R. Kardos |
|  | Attorney Docket Number | GE1-0029US     |

|        |    |  |                          |
|--------|----|--|--------------------------|
| /N.K./ | 25 | The Engineer, "The Front Office Revolution", The Engineer, August 31, 2001, Vol. 290, No. 7567, p. 11 (2 pgs.)                                 | <input type="checkbox"/> |
| /N.K./ | 26 | Tyndale, "A Taxonomy of Knowledge Management Software Tools: Origins and Applications", Evaluation and Program Planning 25, 2002, pp. 183-190. | <input type="checkbox"/> |
| /N.K./ | 27 | Whiting, "Cognos Customizes Suite for J.D. Edwards Applications", Information Week, Manhasset, Issue 779, March 27, 2000, p. 148, 2 pgs.       | <input type="checkbox"/> |

If you wish to add additional non-patent literature document citation information please click the Add button

#### EXAMINER SIGNATURE

|                    |               |                 |            |
|--------------------|---------------|-----------------|------------|
| Examiner Signature | /Neil Kardos/ | Date Considered | 03/31/2008 |
|--------------------|---------------|-----------------|------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.